

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.: 9336-7 DATE: June 26, 2003

UTILITY PATENT APPLICATION TRANSMITTAL LETTER AND FEE TRANSMITTAL FORM (37 CFR 1.53(b))



Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

| Sir: | |
|--|---|
| Transmitted herewith for filing under 37 CFR 1.53(b) is: ☐ a patent application ☐ a Continuation ☐ a Divisional ☒ a Continuation-in-Part (CIP) Application of prior application no.:10/434,009; filed May 8, 2003, the disclosure of which is hereby incorporated here reference in its entirety. ☒ and claims the benefit of Provisional Application Nos. 60/392,671 filed 6/27/02; and 60/440,513, 1/16/03, the disclosure of which is hereby incorporated herein by reference in its entirety. | • |
| Inventor(s) or Application Identifier: Timothy M. Crowder, Durham, NC Anthony J. Hickey, Chapel Hill, NC Vanessa Boekestein, Durham, NC | |
| Entitled: APPARATUS, SYSTEMS AND RELATED METHODS FOR DISPENSING AND/OR EVALUATING DRY POWDERS Correspondence Address: | |
| 20792 PATENT TRADEMARK OFFICE | |
| Enclosed are: 1. Application Transmittal Letter and Fee Transmittal Form (A duplicate is enclosed for fee processing) 2. 49 pages of Specification (including 51 claims) 3. 16 sheets of Formal Drawings (35 USC 113) 4. Oath or Declaration a. newly executed (original or copy) b. copy from prior application (37 CFR 1.63(d) (for continuation/divisional) [Note Box 6 Below] c. DELETION OF INVENTOR(S) (Signed statement deleting inventor(s) named in the prior application) 5. Application Data Sheet. See 37 CFR 1.76 | |
| 6. Incorporation By Reference (useable if box 4b is checked) | |

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated

| | by reference therein. |
|--------|--|
| 7. 🔲 | Microfiche Computer Program (Appendix) |
| 8. 🗌 | Assignment papers (cover sheet(s) and document(s)) |
| 9. 🛚 | Applicant claims small entity status. See 37 CFR 1.27. |
| 10. 🗌 | Information Disclosure Statement, PTO-1449, and references cited |
| 11. 🗌 | Preliminary Amendment (Please enter all claim amendments prior to calculating the filing fee.) |
| 12. 🗌 | English Translation Document |
| 13. 🔲 | Certified Copy of Application No. ; Filed |
| 14. 🔲 | Sequence Listing/ Sequence Listing Diskette |
| a. | computer readable copy |
| b. | paper copy |
| c. | statement in support |
| 15. 🔲 | An Associate Power of Attorney |
| 16. 🛚 | Return Receipt Postcard (MPEP 503) (Should be specifically itemized) |
| 17. 🗌 | Other: |
| The fe | e has been calculated as shown below: |

| | Column 1 | Column 2 | Small Entity | | Large Entity | |
|-------------------------------------|------------------|-----------|--------------|--------|--------------|-----|
| | No. Filed | No. Extra | Rate | Fee | Rate | Fee |
| BASIC FEE | | | \$375.00 | | \$ 750.00 | |
| TOTAL CLAIMS | 51 - 20 = | 31 | x 09 = | 279.00 | x 18 = | \$ |
| INDEP CLAIMS | 3 - 3 = | 0 | x 42 = \$ | *** | x 84 = | \$ |
| MULTIPLE Dependent Claims Presented | | | + 140 = \$ | | + 280 = \$ | |
| If the difference in Col. 1 | Total \$ | 654.00 | Total | \$ | | |

| If the difference in Col. 1 is less than zero, Enter "0" in Col. 2 | Total | \$ 654.00 | Total | \$ | | | | |
|--|-----------|-----------|---------|--------|--|--|--|--|
| A check in the amount of \$654.00 to cover the filing fee is enclosed. | | | | | | | | |
| A check in the amount of \$\sigma\$ is enclosed to cover the filing fee, PLUS the Assignment | | | | | | | | |
| Recordation fee (\$40.00). | | | | | | | | |
| Please charge my Deposit Account No. 50-0220 in the amount of \$ | | | | | | | | |
| The Commissioner is hereby authorized to credit overpayments or charge the following fees | | | | | | | | |
| associated with this communication to Deposit Account No. 50-0220: | | | | | | | | |
| a. Additional filing fees under 37 CFR 1.16 for pr | | | claims. | | | | | |
| b. Additional patent application processing fees u | nder 37 (| CFR 1.17. | | | | | | |

Respectfully submitted,

Julie M. Richardson Registration No. 40,142

CERTIFICATE OF EXPRESS MAILING

Express Mail Label No. EV 353611360 US

Date of Deposit: June 26, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Rosa Lee Brinson

ATTORNEY DOCKET NO. 9336-7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Timothy M. Crowder et al.

Serial No. To be assigned Filed: Concurrently herewith

For:

APPARATUS, SYSTEMS AND RELATED METHODS FOR DISPENSING

AND/OR EVALUATING DRY POWDERS

Date: June 26, 2003

Mail Stop PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

APPLICATION FILED UNDER 37 CFR 1.41(c)

Sir:

The above-identified application is being filed on behalf of the inventors, **Timothy M.** Crowder, a citizen of the United States of America, residing at 4208 Thetford Road, Durham, North Carolina 27707; Anthony J. Hickey, a citizen of the United Kingdom, residing at 1208 Killington Court, Chapel Hill, North Carolina 27514; and Vanessa **Boekestein**, a citizen of the United States of America, residing at 9202 Rose Garden Lane, Durham, North Carolina 27707, under the provisions of 37 CFR 1.41(c). A Declaration and Power of Attorney from the inventors will follow, 37 CFR 1.63.

Respectfully submitted,

Registration No. 40,142

Correspondence Address:

PATENT TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

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a Lee Brinson